



Escrow Agent
Semiannual Financial and Escrow Report

To: All Escrow Agents

In accordance with A.R.S. Section 6-832(B), attached is a copy of the required form to be completed as of 6/30 and 12/31 of each year, regardless of your fiscal year end date. And must not be submitted as one report with the required Annual Audit Report. Every escrow agent must make and file such report within 45 days (due on 8/15 and 2/14 respectively) of the noted dates. Only the original report will be accepted. **Faxed or e-mail financial reports are not acceptable.**

It is the licensee's responsibility to submit the most current form in a timely manner. Failure to file an original of this report within the prescribed time frame will result in the assessment of a penalty of twenty-five dollars for each day of delinquency. A postmark date is not considered received by the department. Do not recreate the semiannual financial form, no other form will be accepted.

Visit www.azdfi.gov click licensing to download this form.

Complete the form accordingly.

- Legibly print or type all information. Include the license number for the entity.
- Every **TOTAL** line must have a total amount entered. IF zero (0) or N/A then enter zero (0) or N/A.
- Total Assets must equal Total Liabilities and Shareholders' Equity.
- Complete this form for the entire entity except for Schedule A, B & C. This area is only for the state of Arizona.

Sincerely,

Licensing Manager



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Legibly Print or Type All Information

Every **TOTAL** line must have a total amount entered. IF zero (0) or N/A then, enter zero (0) or N/A.

“Total Assets” MUST EQUAL “Total Liabilities and Shareholders’ Equity”

Complete This Form For the Entire Entity Except For Schedule A, B & C This Area Is Only For the State Of Arizona

Do Not Recreate This Document No Other Form Will Be Accepted

Financial condition at close of business for 6/30/____(yr) 12/31/____(yr)

License Number EA- REQUIRED Licensee Name _____

Licensee Address: _____ () -
City State Zip Telephone Number

<u>Assets</u>		<u>Liabilities and Shareholders’ Equity</u>	
Current Assets		Current liabilities	
Cash	\$ _____	Current portion of long-term debt	\$ _____
Accounts receivable (less allowance for doubtful accounts)	\$ _____	Notes Payable to a bank	\$ _____
Other receivables	\$ _____	Accounts payable	\$ _____
Prepaid expenses and other current assets	\$ _____	Accrued expenses and other current liabilities	\$ _____
TOTAL Current Assets	\$ _____	TOTAL Current Liabilities	\$ _____
Notes receivable, excluding current portion	\$ _____	Loans from shareholders	\$ _____
Other receivables, excluding current portion	\$ _____	Deferred income taxes	\$ _____
Property and equipment, at cost, net of accumulated depreciation	\$ _____	Other deferred liabilities	\$ _____
Long-term investments, at cost	\$ _____	Long-term debt, excluding current installments	\$ _____
Other assets	\$ _____	Other liabilities	\$ _____
TOTAL long-term assets	\$ _____	TOTAL Liabilities	\$ _____
		Shareholders’ Equity common stock	\$ _____
		Additional paid-in capital	\$ _____
		Retained earnings	\$ _____
TOTAL Assets	\$ _____	TOTAL shareholders’ equity	\$ _____

“Total Assets” MUST EQUAL “Total Liabilities and Shareholders’ Equity” Below

TOTAL Assets	\$ _____	TOTAL Liabilities and shareholders’ equity	\$ _____
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Complete Schedule A, B & C For The State Of Arizona Only

⊗ Schedule A – Escrow Accounts

Escrow account balance	\$ _____
Number of escrows opened year to date	_____
Number of escrows closed year to date	_____
Number of escrows holding funds which have been open over 6 months	_____
Total amount of escrows holding funds which have been open over 6 months	\$ _____
Number of escrows with negative balance as of date of this report	_____
Total amount of escrows with negative balance as of date of this report	\$ _____

⊗ Schedule B – Account Servicing

Number of account servicing files opened year to date	_____
Number of account servicing files closed year to date	_____
Impound account balance on date of report	\$ _____



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⌘ Schedule C – Subdivision Trusts

Number of subdivision trust files opened year to date _____
 Number of subdivision trust files closed year to date _____
 Total fiduciary funds on date of report \$ _____

**Statement of income for the _____ months ending _____ / ____ / ____
 Give all figures on a Fiscal Year to Date Basis**

⌘ Revenues:

Title fees \$ _____
 Escrow fees \$ _____
 Trust and other fees \$ _____
 Other \$ _____
 Total revenue from operations \$ _____

⌘ Less: Underwriting costs

\$ _____
 Gross profit from operations \$ _____

⌘ General and administrative expenses

\$ _____
 Income (loss) from operations \$ _____

⌘ Other income (expenses)

Miscellaneous fees \$ _____
 Interest income \$ _____
 Interest expense \$ _____
 Other \$ _____

Income (loss) before income taxes and extraordinary item \$ _____

⌘ Income taxes

\$ _____
 Income before extraordinary item \$ _____

⌘ Extraordinary item

\$ _____
 Net income (loss) \$ _____

Verification

I certify that the above information provided by me is true,
 complete and correct to the best of my knowledge and belief.

 Print Name Direct Telephone Number Ext.# Fax Number

 Signature Title Date