



### Premium Finance Supplement Renewal Application

***This application must be completed by typewriter or legibly printed.***

Do not leave any blank spaces. There must be an answer provided for each inquiry. If not applicable use "None" or "n/a."

Do not add attachments in lieu of completing our form.

Company Name:

License Number:

PF-

### 1. Liquid Assets:

Has the licensee at all times since being licensed maintained a minimum of \$25,000.00 in liquid assets? (circle one) If NO, provide a written explanation as to why not. Yes No

### 2. Affidavit:

State of \_\_\_\_\_

County of \_\_\_\_\_

I \_\_\_\_\_ as \_\_\_\_\_ swear or affirm that I have executed this form  
Print Name Print Official Title

before a Notary Public, of my own free will and:

- (a) I have read and understand the items and instructions on this form;
- (b) My answers (including attachments) are true and complete to the best of my knowledge;
- (c) I understand that I am subject to administrative, civil or criminal penalties if I give false or misleading answers;
- (d) I authorize all my current and former *employers*, law enforcement agencies, and any other *person* to furnish to any *jurisdiction*, or any agent acting on its behalf, any information they have, including without limitation my creditworthiness, character, ability, business activities, educational background, general reputation, history of my employment and, in the case of former *employers*, complete reasons for my termination;
- (e) I have read and understand applicable federal and state law, and will be in compliance at all times;
- (f) I promise to keep the information contained in this form current and to file accurate supplementary information on a timely basis;
- (g) I understand that this renewal has to be signed by one of the owners or officers on file with the Department of Financial Institutions**

Signature of individual: \_\_\_\_\_ Date (MM/DD/YYYY) \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20 \_\_\_\_\_  
Date Month Year

Print Notary Public name: \_\_\_\_\_ Notary Public signature: \_\_\_\_\_

Notary Appointment Expires (MM/DD/YYYY): \_\_\_\_\_ Notary seal here

### 3. Renewal Fees:

Renewal Fee: \$300.00

Make payable to: Arizona Department of Financial Institutions or AZDFI



**License Renewal - Premium Finance Supplement - Annual Report**

Premium Finance Company Annual Report – Arizona Revised Statutes Section 6–1408 requires each licensee to file this report on or before February 1, Annually (and may not be submitted prior to January 1 as the report must cover the 12 months immediately prior to the submission date of this report).

The annual report concerns your business and operations during the preceding calendar year (January 1 through December 31). The Department may extend the due date for submission of the annual report for a period not to exceed sixty (60) days. If an extension of time is required, the licensee must submit a written request stating the reason(s) an extension is necessary. Direct your written request to the Licensing Division. If an extension of time is not requested or not granted by the Department, a civil money penalty of \$25.00 per day may be assessed for each day the annual report is not timely submitted.

Name of Licensee:	License Number: <b>PF-</b>
Place of Business:	
Financial Condition At Close Of Business: December 31, <b>for the year of:</b> _____	

**1. Balance Sheet:**

<b>(A) Assets</b>	<b>Dollars</b>
<b>Current Assets</b>	
Cash	
Short-Term Investments (Describe in Section 4 )	
Premium Finance Agreements	
Accounts Receivable (Less Allowance For Doubtful Accounts)	
Current Portion Of Notes Receivable	
Current Portion Of Other Receivables	
Prepaid Expenses	
Other Current Assets	
Total Current Assets	
Notes Receivable, Excluding Current Portion	
Other Receivables, Excluding Current Portion	
Property And Equipment, At Cost, Net Of Accumulated Depreciation	
Long Term Investments	
Other Assets	
Intangible Assets:	
Goodwill, Etc	
<b>TOTAL ASSETS</b>	



**(B) Liabilities and Capital**

Current Liabilities:

Dollars

Current Portion Of Long-Term Debt

Notes Payable To A Bank

Accounts Payable

Accrued Expenses

Other Current Liabilities

Total Current Liabilities

Deferred Liabilities

Long-Term Debt, Excluding Current Portion

Other Liabilities

TOTAL LIABILITIES

Capital:

Common Stock (\$\_\_\_\_\_ Par)

(Shares Authorized #\_\_\_\_\_)

(Shares Issued #\_\_\_\_\_)

Less: Cost Of Treasury Stock

Additional Paid-In Capital

Retained Earnings (Deficit)

TOTAL CAPITAL

TOTAL LIABILITIES AND CAPITAL

**2. Statement of Income:**

Statement of income for the 12 months ending December 31, for the year of: \_\_\_\_\_

Income

Finance/Service Charges

Delinquency Charges

Default Cancellation Charges

Additional Charges

Collection On Accounts Previously Charged Off (Direct Basis Only)

Other Income (Itemize)

TOTAL INCOME



<b>Expenses</b>	
Salaries	_____
Occupancy	_____
Office Expenses And Supplies	_____
Bad Debt	_____
Depreciation	_____
Legal	_____
Interest On Borrowed Funds	_____
Other (Itemize)	_____
_____	_____
_____	_____
TOTAL EXPENCES	_____
Net Operating Income (Loss)	_____
Income Taxes	_____
Net Income (Loss)	_____

**3. Statement of Change in Capital:**

Ending balances must agree with Balance Sheet (Section 1)

	Capital Stock	Additional Paid-in Capital	Retained Earnings (Deficit)	Treasury Stock	Total Capital
Balance, Beginning					
Dividends/Distributions					
Net Income (Loss)					
Other					
Balance, Ending					

**4. Schedule of Short-Term Investments:**

Description	Amount	Description	Amount
1	\$	5	\$
2	\$	6	\$
3	\$	7	\$
4	\$	8	\$
9 Total Short-Term Investments			\$



### 5. Volume Of Operations:

#### 1. Premium Finance Agreements Entered Into Or Acquired During The Preceding 12 Months:

<u>No. of Contacts</u>	<u>Total Amount Advanced</u>	<u>Total Amount Outstanding</u>
Personal: _____		
Commercial: _____		

2. Do You Service Premium Finance Agreements For Others? Yes No  
 If So, The Number Of Agreements As Of Reporting Date: \_\_\_\_\_  
 Total Outstanding Balance Of Agreements: \_\_\_\_\_

3. Do You Purchase Premium Finance Agreements From Others? Yes No  
 If So, The Number Of Agreements Purchased during previous calendar year: \_\_\_\_\_

#### 4. Please Complete The Following Rate Table:

<u>Amount Financed</u>	<u>Contract Interest Rate (Not Apr)</u>
_____	_____
_____	_____
_____	_____
_____	_____
_____	_____

### 6. Affidavit For Annual Report:

State of \_\_\_\_\_

County of \_\_\_\_\_

I \_\_\_\_\_ as \_\_\_\_\_ swear or affirm that I have executed this form  
Print Name Print Official Title

before a Notary Public, of my own free will and:

- (a) I have read and understand the items and instructions on this form;
- (b) My answers (including attachments) are true and complete to the best of my knowledge;
- (c) I understand that I am subject to administrative, civil or criminal penalties if I give false or misleading answers;
- (d) I authorize all my current and former *employers*, law enforcement agencies, and any other *person* to furnish to any *jurisdiction*, or any agent acting on its behalf, any information they have, including without limitation my creditworthiness, character, ability, business activities, educational background, general reputation, history of my employment and, in the case of former *employers*, complete reasons for my termination;
- (e) I have read and understand applicable federal and state law, and will be in compliance at all times;
- (f) I promise to keep the information contained in this form current and to file accurate supplementary information on a timely basis;
- (g) I understand that this has to be signed by one of the owners or officers on file with the Department of Financial Institutions**

Signature of individual: \_\_\_\_\_ Date (MM/DD/YYYY) \_\_\_\_\_

Subscribed and sworn to before me this \_\_\_\_\_ day of \_\_\_\_\_ 20\_\_\_\_\_  
Date Month Year

Print Notary Public name: \_\_\_\_\_ Notary Public signature: \_\_\_\_\_

Notary Appointment Expires (MM/DD/YYYY): \_\_\_\_\_ Notary seal here



## Premium Finance Supplement Application Checklist

- \$300 Renewal Fee
- Annual Report (Due on or before February 1)
- All changes to your license are sent to the department under separate cover. Do not include/submit with renewal application. (See website for appropriate forms)